

PART 14 – UCI TESTING & INVESTIGATIONS REGULATIONS

Rules amendments applying on **10.03.2020**

3.2 Defined terms specific to the *UCI Testing* and Investigations Regulations:

Suitable Specific Gravity for Analysis: For *Samples* with a minimum volume of 90ml and less than 150ml, specific gravity measured at 1.005 or higher with a refractometer, or 1.010 or higher with lab sticks. For *Samples* with a volume of 150ml and above, specific gravity measured at 1.003 or higher with a refractometer only.

Whereabouts Filing: Information provided by or on behalf of a *Rider* in a *Registered Testing Pool* or *Testing Pool* that sets out the *Rider's* whereabouts during the following quarter, in accordance with Article 5.3 and respectively 5.3bis of the *UCI TIR*.

4.8 Collecting whereabouts information

4.8.2 The UCI may determine that it needs more whereabouts information in respect of certain categories of *Rider* than others. It should consider adopting a 'pyramid approach', based on the risk assessment and prioritizing exercises set out at Articles 4.2-4.5. According to this approach, *Riders* are put into different tiers, depending on the priority that is placed on Testing those *Riders*. The UCI should determine, in the case of each tier of *Riders*, how much whereabouts information it needs in order to conduct the amount of Testing allocated to those *Riders* in the Test Distribution Plan effectively and efficiently.

In accordance with the foregoing, four different tiers are established:

Tier 1: *Riders* included in the UCI Registered Testing Pool (RTP) and therefore required to provide full Whereabouts information as provided in Article 5.3.

Tier 2: *Riders* included in the UCI Testing Pool (TP) and therefore required to provide limited Whereabouts information, as provided in Article 5.3 bis.

Tier 3: *Riders* included in the UCI General Pool (GP) and whose whereabouts information are limited to those collected from their team in accordance with Article 5.10.4.

Tier 4: *Riders* that are not required to provide whereabouts information.

4.8.3 Where *ADAMS* is used to collect whereabouts information from *Riders* in the *RTP* or *TP*, then the names of those *Riders* will automatically be available to WADA and other relevant Anti-Doping Organizations, as required under UCI ADR Article 5.6. Otherwise, however, to comply with UCI ADR Article 5.6, the UCI shall make available, through the UCI Website, a list which identifies those *Riders* included in its RTP either by name or by clearly defined, specific criteria.

4.8.4 The *UCI* shall regularly review and update as necessary its criteria for including *Riders* in its *RTP*, *TP* and *GP*, to ensure that they remain fit for purpose, i.e., they are capturing all appropriate *Riders*. It should take into account the Competition calendar for the relevant period. For example, it may be appropriate to change or increase the number of *Riders* in the Registered Testing Pool in the lead-up to an Olympic or Paralympic Games or a World Championship.

4.8.5 In addition, the *UCI* shall periodically review the list of *Riders* in its *RTP* and *TP* to ensure that each listed *Rider* continues to meet the relevant criteria. *Riders* who no longer meet the criteria should be removed from the *RTP* or *TP* and *Riders* who now meet the criteria should be added to the *RTP* or *TP*. The *UCI* must such *Riders* of the change in their status, and make a new list of *Riders* in the *RTP* available in accordance with UCI ADR Article 5.6, without delay.

4.8.6 For periods when *Riders* come under the Testing Authority of a *Major Event Organization*:

- a) if they are in a *Registered Testing Pool* or *Testing Pool* then the *Major Event Organization* may access their Whereabouts Filings for the relevant period in order to conduct *Testing* on them;
- b) if they are not in a *Registered Testing Pool* or *Testing Pool* then the *Major Event Organization* may adopt Event-specific rules requiring them to provide such information about their whereabouts for the relevant period as it deems necessary and proportionate in order to conduct *Testing* on them.

PART THREE: WHEREABOUTS REQUIREMENTS

5.0 Whereabouts requirements for Riders in the UCI Registered Testing Pool (RTP)

5.0 Bis Whereabouts requirements for Riders in the UCI Testing Pool (TP)

5.1bis Introduction

In furtherance of *UCI TIR* Article 4.8.2, the *Rider* who is in the *UCI TP* shall provide Whereabouts Filing in compliance with the requirements described in Article 5.3bis and shall abide by the obligations stemming from the inclusion in the *UCI TP*.

5.2bis Entering and leaving a Testing Pool

5.2.1 The *UCI* shall notify each *Rider* designated for inclusion in its *TP* of the following:

- a) the fact that he/she has been included in its *TP*;
- b) the whereabouts requirements with which he/she must therefore comply; and

5.2.2 A *Rider* who has been included in the *UCI TP* shall continue to be subject to the *UCI TIR* Article 6.3 Whereabouts Filing Requirements, including the obligation to provide up-to-date Whereabouts Filing, unless and until:

- a) he/she has been given written notice by the *UCI* that he/she is no longer designated for inclusion in the *UCI's TP*;
- b) he/she gives written notice of his/her retirement to the *UCI*.

[Comment: For avoidance of doubt, removal of a Rider from the UCI's TP in accordance with Article 6.2.3 has no bearing on the Rider's inclusion in any other National Anti-Doping

Organisation or other International Federation TP. Same applies if Rider is excluded from another Anti-Doping Organization's TP and not from the UCI's. The Rider remains bound by such inclusion(s) as per such Anti-Doping Organisation's rules and instructions.]

5.3bis Whereabouts Filing Requirements

5.3.1 The *Rider* in the *UCI TP* shall file, within the deadline set by the *UCI*, quarterly Whereabouts Filing that provide accurate and complete information about the *Rider's* whereabouts during the forthcoming quarter, which shall comply with the instructions provided by the *UCI*.

The Whereabouts Filing shall contain at least the following information:

- a) a complete mailing address where correspondence may be sent to the *Rider* for formal notice purposes. Any notice or other item mailed to that address will be deemed to have been received by the *Rider* five working days after it was deposited in the mail;
- b) an email address where correspondence may be sent to the *Rider*;
- c) at least one designated daily phone number that the *UCI* may use, if necessary, to reach the *Rider* at any time for *Testing* and notice purposes;
- d) details of any impairment of the *Rider* that may affect the procedure to be followed in conducting a Sample Collection Session;
- e) for each day during the following quarter, the full address of the place where the *Rider* will be staying overnight (e.g., home, temporary lodgings, hotel, etc.);
- f) for each day during the following quarter, the name and address of each location where the *Rider* will train, work or conduct any other regular activity (e.g. school), as well as the usual time-frames for such regular activities;
- g) the *Rider's* *Competition* schedule for the following quarter, including the name and address of each location where the *Rider* is scheduled to compete during the quarter and the date(s) on which he/she is scheduled to compete at such location(s);
- h) the *Rider's* travel schedule;
- i) Any additional information deemed necessary to enable any *Anti-Doping Organization* wishing to locate the *Rider* for *Testing*.

5.3.2 Where a change in circumstances means that the information in a Whereabouts Filing is no longer accurate or complete, the *Rider* must file an update so that the information on file is again accurate and complete.

5.4bis Failure

The failure by a *Rider* in *TP* to comply with his/her obligations by failing to timely, accurately or completely provide required whereabouts information may result in a *Rider* being added in the *RTP*.

Annex G - Urine Samples that do not meet the requirement for Suitable Specific Gravity for Analysis

G.3 Requirements

G.3.3 While waiting to provide a further Sample, the Rider shall remain under continuous observation ~~and-~~

~~G.3.4 — The Rider shall be advised not to hydrate excessively, since this may delay the production of a suitable Sample. In appropriate circumstances, excessive hydration may be pursued as a violation of Code Article 2.5 (~~Tampering or Attempted Tampering with any part of Doping Control~~).~~

[Comment: It is the responsibility of the Rider to provide a Sample with a Suitable Specific Gravity for Analysis. Sample Collection Personnel shall advise the Athlete and Athlete Support Personnel as appropriate of this requirement at the time of notification in order to discourage excessive hydration prior to the provision of the Athlete's first sample. If the Athlete's first Sample does not have a Suitable Specific Gravity for Analysis, they shall be advised to not hydrate any further until a Sample with a Suitable Specific Gravity for Analysis is provided.]

G.3.45 When the Rider is able to provide an additional Sample, the DCO shall repeat the procedures for Sample collection set out in Annex D – Collection of Urine Samples.

G.3.56 The DCO ~~should~~ shall continue to collect additional Samples until the requirement for Suitable Specific Gravity for Analysis is met, or until the DCO determines that there are exceptional circumstances which mean ~~that for logistical reasons~~, or as per the UCI's instructions on the matter, it is impossible to continue with the Sample Collection Session. Such exceptional circumstances shall be documented accordingly by the DCO.

[Comment: It is the responsibility of the Rider to provide a Sample with a Suitable Specific Gravity for Analysis. If his/her first Sample is too dilute, he/she should not need further hydration and therefore should avoid drinking as far as possible until a Sample with a Suitable Specific Gravity for Analysis is provided. Sample Collection Authority and DCO should ensure they have adequate equipment to comply with the requirements of Annex G. The DCO should wait as long as necessary to collect such a additional Sample(s) with a Suitable Specific Gravity for Analysis. The UCI may specify procedures to be followed by the DCO in determining whether exceptional circumstances exist that make it impossible to continue with the Sample Collection Session.]

G.3.67 The DCO shall record that the Samples collected belong to a single Rider and the order in which the Samples were provided.

G.3.78 The DCO shall then continue with the Sample Collection Session in accordance with Article Annex D.4.17.

~~G.3.9 — If it is determined that none of the Samples collected from the Rider meets the requirement for Suitable Specific Gravity for Analysis and the DCO determines that for logistical reasons or as defined by the UCI it is impossible to continue with the Sample Collection Session, the DCO may end the Sample Collection Session.~~

G.3.840 The DCO shall send to the laboratory for analysis all Samples which were collected, irrespective of whether or not they meet the requirement for Suitable Specific Gravity for Analysis.

G.3.911 The laboratory shall determine, in conjunction with the UCI, which Samples shall be analyzed.