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PART 12 DISCIPLINE AND PROCEDURES

12.1.000 Unless otherwise provided for in the UCI Regulations, this Part governs:
− infringements of the UCI Constitution and Regulations and related sanctions as well as disciplinary procedures (Chapters I to VI);
− competence and procedures in the case of disputes submitted to the UCI Arbitral Board (Chapter VII).

Chapter I PRELIMINARY PROVISIONS

Purpose

12.1.001 Chapters I to VI of this Part 12 describe infringements of the rules of the UCI Regulations. These Chapters also determine the associated sanctions for such infringements, govern the organisation of the authorities responsible for issuing judgments and set out the applicable procedural rules to be followed before these authorities.

Material scope of application

12.1.002 This Part 12 applies to any infringement committed within the scope of, or in relation to, an event or activity authorised or organised by the UCI, a Continental Confederation or an affiliated National Federation. Outside this framework, this Part 12 applies when there are serious infringements of the UCI Constitution and/or in the event of an infringement of the UCI Regulations if no other body has jurisdiction.

This Part must be incorporated in the corresponding regulations of the National Federations.

Personal scope of application

12.1.003 The following persons/entities shall be subject to this Part 12:
   a) National Federations and Continental Confederations;
   b) Licence holders, as well as any person without a licence who participates in an event or activity authorised or organised by the UCI, a Continental Confederation or an affiliated National Federation;
   c) Teams and groups of Teams;
   d) organisers of cycling events;
   e) commissaires;
   f) riders’ agents;
   g) individuals subject to the UCI Code of Ethics;
   h) any person or entity representing or working on behalf of the UCI, excluding UCI employees;
   i) any person or entity representing or working for a team, a group of teams, or an organiser of cycling events.

Temporal scope of application

12.1.004 This Part 12 shall apply, without restriction, to all events occurring after its entry into effect.
The material provisions of this Part 12 shall also apply to prior events if these material provisions are more favourable to the individual(s) concerned and if the UCI's judicial bodies (including the appeals body if necessary) rule on these events after the entry of this Part 12 into effect.

The procedural rules of this Part 12 shall apply from the entry into effect of this Part 12.

**Geographical scope of application**

**12.1.005** This Part 12 applies to the territories of all National Federations affiliated to the UCI.

**Applicability and implementation of decisions**

**12.1.006** Disciplinary measures imposed under the UCI Regulations are enforceable in the territories of these National Federations.

National Federations (and their members and licence holders) must respect and implement decisions taken by the UCI. A failure of recognition or implementation shall represent an infringement that may lead to the sanctions set out by these regulations.

The UCI may extend the effects of a National Federation's decision to global application if the decision has been made in accordance with rules and a procedure that comply with the provisions of these regulations. In this case, paragraph 2 above shall apply in the event of a failure of implementation.

National Federations shall inform the UCI, without delay, of any suspension or prohibition of operation pronounced by their judicial bodies.

**Applicable rules of law**

**12.1.007** In the event of an omission in this Part 12, the competent body shall issue a ruling in accordance with the general principles of law.

It shall be guided by the customary rules of the UCI or, failing that, by the rules it would establish if it had to act as a legislator.

**Gender and number**

**12.1.008** The male gender may be used generically in this Part for the sake of brevity. In this case, it shall also apply to the female gender. Furthermore, the singular may refer to the plural and vice versa unless a specific mention of the gender or number arises from the subject or objective of the regulation.
Chapter II  GENERAL SECTION

Principles

12.2.001 Unsporting behaviour, race incidents and infringements of the UCI Constitution, Regulations, decisions and directives shall be punished by disciplinary measures.

The disciplinary measures provided for in this Part may be enacted for offences committed before, during or after an event, as well as for offences committed outside an event, provided that there is a sufficient link to an activity governed by the UCI.

The jurisdiction of the UCI Ethics Commission and criminal prosecution authorities remain reserved.

General measures

12.2.002 If a person or entity to whom this Part applies infringes the provisions of this Part, the UCI Regulations or any other rule established by the UCI, then one or more of the following disciplinary measures shall be imposed:

   a) warning;
   b) reprimand;
   c) disqualification;
   d) fine;
   e) return of prizes, medals, etc.;
   f) suspension of activities relating to cycling;
   g) permanent ban of activities relating to cycling;
   h) educational measures;
   i) other disciplinary measures.

Determination of disciplinary measures

12.2.003 The Disciplinary Commission shall determine the type and extent of disciplinary measures, taking into account all the circumstances and in particular any aggravating or mitigating circumstances.

Combination of sanctions

12.2.004 Unless otherwise stated, the disciplinary measures established by this Part may be combined.

Repeat offences

12.2.005 The disciplinary measure set out by a provision of the Regulations may be increased in the event of repeat offences up to a doubling of the amount of the fine or duration of the suspension.

Provision of information

12.2.006 When information is provided by a person or entity who is to be sanctioned and this is crucial to the discovery of a serious breach of the UCI Regulations, the Disciplinary Commission may, at its discretion, freely reduce the effects of, or renounce, the disciplinary sanction on the former.

Publication

12.2.007 Disciplinary decisions may be published or be the subject of a press release on the UCI website and/or, at the request of the UCI, on National Federation websites.
Statute of limitations

12.2.008 Unless otherwise specified, any infringement described by this Part 12 shall be time-barred if it has not been reported to the Disciplinary Commission within one year of the date on which it was discovered.

Unless otherwise specified, any infringement under this Part 12 shall be time-barred after three years from the date of commission. The time-bar period shall be interrupted for the duration of the disciplinary proceedings, including any appeal.

If an infringement has been concealed by its author in contravention of the principles of good faith, the period shall be calculated from the time at which the infringement is revealed.

Culpability

12.2.009 Disciplinary infringements are punishable whether committed intentionally or through negligence.

Attempted commission

12.2.010 An attempt to commit a disciplinary infringement shall also be sanctioned by disciplinary means, but may be subject to reduced disciplinary measures.

Participation

12.2.011 Any person participating in a disciplinary infringement as an instigator or accomplice may also be subject to disciplinary measures.

Obligation to report

12.2.012 All individuals who are subject to these regulations have the obligation to report any infringement of the regulations that has been noted to the UCI.

A failure of this obligation to report shall constitute an infringement.
Chapter III DISCIPLINARY MEASURES

§ 1 Warning

Definition

12.3.001 A warning is a reminder of the content of a disciplinary rule.

It may be delivered by a commissaire or by the Disciplinary Commission.

§ 2 Reprimand

Definition

12.3.002 A reprimand is a formal expression of disapproval issued to the author of an infringement. A reprimand is an official, written document.

§ 3 Disqualification

Definition

12.3.003 Disqualification may take the form of a rider being forbidden to take the start, elimination from a race or a decision taken after the conclusion of the event.

Consequences of disqualification

12.3.004 The disqualification of a rider or team shall invalidate all results and classifications achieved in the events in question and the forfeiture of all related prizes, points and medals.

Unless expressly stated otherwise, the placing of a disqualified rider or team shall be taken by the next rider or team in the classification, such that all placings are always occupied. As an exception, in a track event which involves the direct competition of two or more riders or teams, a rider or team shall not take the place of a disqualified rider or team if direct competition has not taken place between them in the last round.

A rider disqualified from a stage race shall not be permitted to participate in any other event for the duration of the race for which he was penalised, failing which he may be sanctioned by the Disciplinary Commission.

§ 4 Fine

Definition

12.3.005 Except for fines for race incidents which are under the competence of the Commissaires’ panel as provided by specific provisions, any person or entity subject to this Part of the Regulations may be fined by the Disciplinary Commission between CHF 1,000 and CHF 100,000.

(text modified on 15.02.19).
12.3.006  **Currency**

The fines established by the UCI Regulations are indicated in Swiss francs (CHF). The UCI or a National Federation invoicing a fine may, nevertheless, require the payment in another currency. In the event of settlement in another currency, the amount paid in the alternative currency must correspond to the amount in CHF at the rate in effect on the day of payment, net of any costs.

*(text modified on 15.02.19)*.

12.3.007  **Reduction of amounts**

The Management Committee may reduce the amount of the fines fixed in the UCI Regulations for parties in different continents or countries at its discretion.

12.3.008  **Time limits**

Fines due to the UCI must be paid within one month of the dispatch of the invoice. The invoice shall be sent to the individual or entity concerned as well as to the relevant National Federation, club or team for information.

If the total amount due is not paid in full within one month of the due date, the offending party shall be automatically suspended until the full amount is paid. If the offending party is suspended for another reason, the suspension for non-payment shall be added to the duration of the other suspension.

12.3.009  **Joint and several liability**

The team of the offending person at the time of the offense is jointly and severally liable for the payment of fines imposed on the person or entity concerned for any infringement of the UCI Regulations. This rule is also applicable to National Federations if the offending person was representing it at the time of the infringement.

If necessary, the bank guarantee deposited with the UCI or National Federation may be called upon.

*(text modified on 15.02.19)*.

12.3.010  **Collection of fines**

Fines imposed for race incidents in events on the international calendars of the various disciplines shall be collected by the UCI. The Management Committee may establish that a percentage of the fines collected should be directed to the National Federation of the organiser concerned in a manner that the Management Committee deems fit.

Fines imposed for race incidents relating to the national calendars of the various disciplines shall be collected by the National Federation of the event organiser.

### § 5  **Return of prizes**

**Definition**

12.3.011  A person or entity ordered to return a prize must send back the benefits received, in particular the prize money and symbolic objects (medals, cups, jerseys, etc.) as directed by the UCI administration.

**Procedures for return**

12.3.012  The prize must be returned to the organiser or National Federation within a month, who will then redistribute it according to the amended classification.
The rider or team shall be automatically suspended if the prize has not been returned within one month of notification and for as long as the amount due has not been refunded.

The preceding paragraph also applies to intermediaries or beneficiaries in possession of the prize from the moment that the return is requested.

§ 6 Suspension

Definition
12.3.013 A person or entity who is the subject of a suspension may not, during the period of suspension, participate in any capacity in an event or activity authorised or organised by the UCI, a Continental Confederation or an affiliated National Federation.

Liability of the suspended person or entity
12.3.014 During a period of suspension, the suspended person or entity remains bound to all UCI Regulations and remains subject to the jurisdiction of the disciplinary bodies.

Financial assistance during the period of suspension
12.3.015 The suspended person or entity may not receive any financial assistance or other benefit in relation to their sporting activities for the period of suspension.

Suspension and other measures in application of the Anti-Doping rules
12.3.016 The periods of ineligibility and other consequences established as a result of the UCI Anti-Doping Rules are reserved. Where appropriate, a suspension imposed in application of this Part 12 shall apply outside a period of ineligibility applicable as a result of the Anti-Doping rules.

Suspension of a team, association or any other organisation
12.3.017 In the event of the suspension of a team, association or any other organisation, all licence holders who are members or otherwise linked shall also be suspended, unless authorised by the UCI Management Committee to exercise their activities in an individual capacity under appropriate conditions to be set by the Management Committee.

Withdrawal of licence
12.3.018 Suspension shall entail the withdrawal of the licence for the duration of the suspension. At the end of the suspension period, the suspended person shall not benefit from the return of his licence or the granting of a new licence, nor be accepted to participate in cycling events in any capacity whatsoever, until he has fulfilled all his obligations under this Part 12 and the UCI Regulations in general and complied with any decision taken in accordance with these regulations.

Participation of a suspended licence holder in a cycling event
12.3.019 Any participation of a suspended licence holder in a cycling event shall be considered void and may lead to the imposition of a fine under the terms of this Part.

In addition, the period of ineligibility initially imposed shall restart from the date of the unauthorised participation. This may be adjusted depending on the licence holder's misconduct and the other circumstances of the case.

Effectiveness of suspension
12.3.020 The suspension must be effective in sporting terms. It must be carried out within the period of normal activity of the person concerned. To this end, the date of entry into effect may be determined in a specific manner and the suspension spread over several periods.
§ 7 Prohibition of exercising any activity related to cycling

Definition
12.3.021 A person may be prohibited from participating in activities that come under the jurisdiction of the UCI and/or National Federations.

§ 8 Educational measures

Definition
12.3.022 Educational measures may be proposed instead of, or in addition to, other disciplinary measures.

§ 9 Other disciplinary measures

Definition
12.3.023 The Disciplinary Commission may decide to impose other disciplinary measures not provided for in this section.

§ 10 Provisional measures

Definition
12.3.024 When it is likely that an infringement has been committed but a decision on the merits cannot be taken sufficiently quickly, the Disciplinary Commission may, if it considers it necessary, order provisional measures including, in particular, the imposition of a provisional suspension.

The Disciplinary Commission can also order provisional measures to ensure compliance with a sanction already in effect.

Proof
12.3.025 The Disciplinary Commission shall issue a ruling on the basis of the evidence available at the time of the decision.

Decision and enforcement
12.3.026 The Disciplinary Commission shall make its decision as soon as possible. The decision is immediately enforceable.

In urgent cases or when circumstances so require, the Commission does not need to hear the party or parties concerned before issuing a decision.

Deduction of the duration of the provisional suspension
12.3.027 If a suspension has been provisionally imposed, the duration of its actual application shall be deducted from any definitive suspension.
Chapter IV  INFRINGEMENTS

§ 1  Infringements related to race incidents

Definition

The infringements relating to race incidents are those listed in the tables of race incidents as set out in the articles below:

- 2.12.007 for Road cycling;
- 3.10.008 for Track cycling;
- 4.15.001 for Mountain Bike.

The table of race incidents applicable to the other disciplines is appended to this Part.

These infringements are exclusively pronounced by Commissaires. They are not subject to appeal except in the case of fines exceeding the following amounts:

a) Road: Column 1: fine over CHF 1,000.
           Column 2: fine over CHF 500.
           Column 3: fine over CHF 200.

b) Track: Column 1: fine over CHF 200.
           Column 2: fine over CHF 100.

c) Cyclo-cross: Column 1: fine over CHF 200.
           Column 2: fine over CHF 100.

d) Mountain Bike: Column 1: fine over CHF 200.
           Column 2: fine over CHF 100.

e) Para-cycling: Column 1: fine over CHF 200.
           Column 2: fine over CHF 100.

An appeal may be made within 10 days of notification of the decision.

(text modified on 11.02.20 ; 12.06.20)

§ 2  Specific infringements

Definition

The specific infringements listed below are the exclusive competence of the Disciplinary Commission, whether or not the circumstances in question relate to, or are equivalent to, the race incidents described by the tables.

Technological fraud

Technological fraud is an infringement of article 1.3.010 that is subject to a disciplinary sanction.

Technological fraud occurs in the following circumstances:

a) the presence, within the scope or fringes of a cycling event, of a bicycle that does not comply with the provisions of article 1.3.010;
b) the use by a rider, within the scope or fringes of a cycling event, of a bicycle that does not comply with the provisions of article 1.3.010.

All riders and teams, or other entities that a rider may represent (in particular National Federations at races contested by national teams), are responsible for ensuring that all their bicycles comply with the provisions of article 1.3.010 at all times. The presence of a bicycle that does not comply with the provisions of article 1.3.010, within the scope or fringes of a cycling event, shall constitute technological fraud by the rider and the team, or other entity the rider represents, irrespective of whether or not the bicycle has been used during the event.

Technological fraud is subject to a statute of limitation of 10 years from the date of the offence.

**Discrimination**

12.4.004 Any person or entity subject to this Part who, by word or deed, demeans, discriminates against or denigrates a person or group of persons in a manner that violates human dignity on the grounds of race, colour, sex, sexual orientation, religion, political belief, language, ethnic or national origin or social condition shall receive a disciplinary sanction.

**Threats**

12.4.005 Any person or entity subject to this Part who makes threats shall receive a disciplinary sanction.

**Coercion**

12.4.006 Any person or entity subject to this Part who, by violent means, threats or in any other way, exerts pressure on a commissaire, classifier or any other authority, to urge them to take, not to take, or to withdraw a given decision shall receive a disciplinary sanction.

**Endangerment**

12.4.007 Any person or entity subject to this Part who exposes another party to an immediate risk of death or injury by a deliberate act or behaviour that is contrary to the regulations regarding safety or care shall receive a disciplinary sanction.

**Fraud**

12.4.008 Any person or entity subject to this Part who defrauds, cheats or acts in an unfair manner in order to obtain a benefit shall receive a disciplinary sanction. The transmission of false information constitutes fraud for the purposes of this provision.

Fraud is subject to a statute of limitation of 5 years from the date of the offence.

**Assault**

12.4.009 Any person or entity subject to this Part who harms the physical integrity of a person shall receive a disciplinary sanction.

**Suspension of a team registered with the UCI as a result of the Anti-Doping rules**

12.4.010 The Disciplinary Commission may suspend a team in accordance with article 7.12 of the UCI Anti-Doping Rules.

**Breaches of the UCI Code of Ethics**

12.4.011 Breaches of the UCI Code of Ethics may be sanctioned by the Disciplinary Commission in accordance with the Code’s provisions.
§ 3  Infringements specific to each discipline

Definition
12.4.012 Infringements specific to each discipline may be provided for in the other Parts of the UCI Regulations.

§ 4  Infringements specific to organisers

Principle
12.4.013 The Disciplinary Commission is competent to pronounce disciplinary measures for Road cycling as per the table of article 2.12.012 or cases referred to it in accordance with article 2.12.010 B.

For the other disciplines, the Disciplinary Commission may pronounce disciplinary measures as it deems appropriate for the infringements described below.

Safety failing
12.4.014 Organisers are responsible for order and safety on the race route and in its immediate surroundings. An organiser is responsible for any incident and shall be liable to disciplinary measures unless it can prove that the organisational measures actually implemented met the applicable safety standards and that, taking into account the specific circumstances, these were sufficient in qualitative and quantitative terms.

Breach of contractual obligations
12.4.015 An organiser may receive a disciplinary sanction in the event of an intentional breach of its contractual obligations.

Breach of regulatory obligations
12.4.016 An organiser may receive a disciplinary sanction in the event of a serious or repeated breach of an obligation established by: the UCI Regulations; the prevailing organiser's guides for the different disciplines; the UCI WorldTour organiser's specifications; the various technical guides as well as any directives or other documents setting out the organiser's obligations.

§ 5  Other infringements

Definition
12.4.017 A person or entity who is subject to this Part 12 must behave in accordance with the principles of trustworthiness, integrity and fair play.

These rules are broken by anyone who, in particular:

  a) behaves in such a manner as to harm the image, reputation or interests of cycling or the UCI;
  b) fails to respect the decisions and/or instructions of judicial bodies, commissions or other UCI authorities;
  c) does not comply with the instructions given by commissaires;
  d) behaves in an insulting manner, makes defamatory comments or otherwise contravenes the basic rules of decency;
  e) seriously or repeatedly breaches any provision of a UCI regulation that is not specifically punished;
  f) behaves in another way that is unsporting.
Chapter V  ORGANISATION

§ 1  Commissaires’ Panel

Definition and jurisdiction
12.5.001 The Commissaires’ Panel is competent to judge and sanction all race incidents according to the tables of race incidents applicable to the different disciplines. Except for Road cycling, the Parts of the Regulations pertaining to the different disciplines may contain specific provisions conferring competence to the Commissaires’ Panel to sanction other infringements.

Before making its decision, the Commissaires’ Panel may summon and hear the party concerned if the circumstances so warrant.

The Commissaires’ Panel may issue decisions on race incidents of which it becomes aware until the moment it is disbanded.

A race incident, whether it has been sanctioned, not sanctioned or escaped the attention of the Commissaires’ Panel, may not be reviewed, unless the improper conduct constitutes an infringement within the jurisdiction of the Disciplinary Commission in accordance with this Part.

If a commissaire considers that a race incident is also likely to constitute an infringement within the jurisdiction of the Disciplinary Commission, he must report this to the UCI.

Notification
12.5.002 Decisions are notified by means of the publication of a communiqué by the Commissaires’ Panel. They may also be notified verbally to the individual in question or his team.

Any appeal under the terms of article 12.4.001 must be lodged within ten days of notification of the decision.

The Disciplinary Commission may, at its own initiative or upon request, decide to suspend the implementation of a decision by the Commissaires’ Panel.

§ 2  Disciplinary Commission

Composition
12.5.003 The Disciplinary Commission is composed of a President and members appointed by the UCI Management Committee.

The members of the Disciplinary Commission may not exercise any function for the UCI nor be UCI employees or Commission members, with the exception of the UCI Arbitral Board.

Jurisdiction
12.5.004 Unless otherwise specifically provided, the Disciplinary Commission has jurisdiction to judge and sanction infringements contained in this Part, the UCI Regulations and the UCI Code of Ethics. This jurisdiction may be exercised regardless of whether the alleged violation has already been sanctioned by the Commissaires’ Panel in accordance with the table of race incidents.
The Disciplinary Commission also has jurisdiction on appeals concerning race incidents under the terms of article 12.4.001. An appeal must contain a description of the events and a copy of the decision by the Commissaires’ Panel that is contested.

Except in cases of appeal, the UCI refers to the Disciplinary Commission.

**Secretariat**

**12.5.005** The UCI Management Committee shall provide the Disciplinary Commission with a Secretariat responsible for all administrative tasks, communications and notifications by the Disciplinary Commission.

All correspondence to the Disciplinary Commission shall be sent to the Secretariat at the following address:

UCI Disciplinary Commission  
c/o Union Cycliste Internationale  
CH-1860 Aigle  
Disciplinary.Commission@uci.ch

The Secretariat shall be responsible for sending any notifications and communications to parties on behalf of the Disciplinary Commission. Notifications and communications shall be sent to the email addresses provided by the parties.

**Confidentiality**

**12.5.006** The Disciplinary Commission shall ensure that any information disclosed in respect of the procedure which is not in the public domain shall remain confidential.

All parties, as well as the Secretariat, witnesses, experts, interpreters or any other person involved in the procedure are required to respect the confidential nature of any information of which they become aware during the procedure.

However, the above paragraph does not restrict the UCI's right to make public the existence and progress of an ongoing case, as well as its outcome.

§ 3 National Federations

**Competence**

**12.5.007** Race incidents occurring during events on a national calendar are judged and sanctioned by the National Federation of the organiser of the event according to its own rules.

National Federations may not introduce other race incidents that constitute infringements, nor sanctions, other than those contained in this Part.

**Obligation to prosecute**

**12.5.008** A National Federation shall be obliged to initiate a disciplinary procedure against the licence holders concerned whenever it becomes aware of an infringement of the UCI Regulations for which it is competent.

The National Federation must ensure a fair procedure for its licence holders.
Referral by the UCI

12.5.009 If, in the event of an infringement of the UCI regulations, a National Federation does not act, the UCI, after having requested that this should happen, shall have the right to refer to the Disciplinary Commission which shall subsequently have exclusive competence to deal with the case.

Moreover, the National Federation in question shall be liable to disciplinary sanctions.

UCI Appeals

12.5.010 The UCI shall have the right to appeal any disciplinary decision made by a National Federation. This right shall be set out in the regulations of National Federations. Failing this, an appeal may be lodged with the Disciplinary Commission which shall then have exclusive jurisdiction.

Delegation of competence

12.5.011 The Disciplinary Commission shall exercise the Management Committee’s competence to impose fines on National Federations. The competence of the Management Committee to suspend National Federations cannot be delegated.

Appeals to the UCI

12.5.012 If, according to a National Federation’s regulations, a licence holder does not have the option to bring an appeal regarding a decision against him, he may lodge an appeal with the UCI Disciplinary Commission if a suspension of one month or more has been imposed. This appeal must be lodged within 30 days of the communication or, failing that, of the publication of the decision taken. The Disciplinary Commission decides in the final instance.

§ 4 Court of Arbitration for Sport (CAS)

Appeals

12.5.013 Decisions of the Disciplinary Commission may be appealed to the Court of Arbitration for Sport.

Only the UCI and the parties to the procedure may appeal the decision of the Disciplinary Commission.

Time limits for appeal

12.5.014 Appeals must be filed with the Court of Arbitration for Sport within 21 days of the date the appellant was notified of the appealable decision.
Chapitre VI  PROCEDURE – DISCIPLINARY COMMISSION

§ 1  Preliminary provision

Principle

12.6.001 The provisions of this chapter are intended to ensure the simple, efficient and speedy handling of the cases submitted, in particular through the cooperation of the parties and representatives involved, while respecting the essential procedural guarantees and in particular the right to be heard.

The Disciplinary Commission shall determine the applicable procedure taking into account all procedural guarantees.

Any procedural issue which is not provided for in this Part or in the UCI Regulations shall be decided by the Disciplinary Commission.

§ 2  Assignment of cases

Appointment of the judge by the Secretariat

12.6.002 As soon as the procedure is opened, the Secretariat shall appoint a single judge from among the members of the Disciplinary Commission in consultation with the President of the Disciplinary Commission.

Depending on the circumstances, the Secretariat may decide to appoint a panel of three judges.

§ 3  Impartiality and challenge

Impartiality

12.6.003 The Disciplinary Commission takes decisions in a completely impartial manner.

Challenge

12.6.004 If the impartiality of a member of the Disciplinary Commission can legitimately be questioned, he cannot be appointed to rule on the case in question.

Members of the Disciplinary Commission shall immediately disclose any circumstance likely to affect their impartiality.

Any request for challenge must be sent to the Secretariat within seven (7) days of the party requesting the challenge becoming aware of, or ought reasonably to have become aware of, the motive of the challenge.

A decision concerning a challenge request against a member of the Disciplinary Commission shall be taken by the Commission’s President, after having invited the member in question to submit his observations in writing. The decision cannot be the subject of a separate appeal.

If the request for challenge concerns the President of the Disciplinary Commission, the Secretariat shall appoint another member to decide on the request.
§ 4 Procedure

Language of the procedure

12.6.005 The procedure is conducted in one of the two official languages of the UCI, namely English or French.

The Disciplinary Commission shall determine the language to be used during the procedure. A document drawn up in another language must be accompanied by a translation into the language of the procedure, at the expense of the party submitting the document.

The Disciplinary Commission is free to accept or disregard a document submitted in a language other than that of the procedure. The Disciplinary Commission may dispense with the translation of documents in a language understood by the parties and the Disciplinary Commission.

Written procedure - Hearing

12.6.006 The procedure may be conducted in writing. The parties present written observations and evidence.

The Disciplinary Commission may, on its own initiative or at the request of one of the parties, summon the parties to a hearing.

The hearings may be conducted by electronic means (telephone or video conference).

The hearing shall be conducted in the language of the procedure. Each party may be assisted, at its own expense, by an independent interpreter. The parties are responsible for ensuring the participation in the hearing of the interpreters required for the witnesses and experts they have requested. The Disciplinary Commission may dispense with interpreting for a language understood by the parties and the Disciplinary Commission.

The Disciplinary Commission may limit or refuse the appearance of a witness or expert, or all or part of their testimony, if it is not considered relevant.

After the conclusion of the hearing, the parties are not authorised to provide additional evidence or written conclusions, unless the Disciplinary Commission decides otherwise.

The Disciplinary Commission may proceed and render its decision even if a party, witness, expert or interpreter does not appear at the hearing.

Further investigation

12.6.007 In principle, the Disciplinary Commission reaches a decision on the basis of information submitted by the parties. However, the Commission may proceed with further investigative measures.

The Disciplinary Commission may, in particular, and at any time, require one or more parties to provide further written submissions, produce complementary documentation or submit decorations from additional witnesses.

The Commission may also conduct investigations (summons of witnesses, appointing an expert, etc.).
§ 5 Evidence

Miscellaneous means of evidence

12.6.008 Infringements may be established by any reliable means of evidence.

The means of evidence are, in particular:

a) documents;
b) official reports;
c) the statements of the parties;
d) witness statements;
e) audio or video recordings;
f) expert opinions;
g) any other evidence relevant to the case.

Statements made by commissaires

12.6.009 Statements made by commissaires in reports and minutes shall have probative force unless proven otherwise.

Free assessment of evidence

12.6.010 The Disciplinary Commission freely assesses the evidence. In particular, it may take into consideration the attitude of the parties, for example failure to comply with a personal summons, refusal to answer a question from the Disciplinary Commission or produce the required means of evidence.

Burden and standard of proof

12.6.011 The burden of proof for infringements of the provisions of this Part shall lie with the UCI. The UCI shall establish the infringement of a provision of the UCI Regulations to the comfortable satisfaction of the Disciplinary Commission.

§ 6 Notification, time limits and entry of decisions into effect

Principle

12.6.012 Unless otherwise provided for in this Part 12, the Disciplinary Commission shall fix the procedural time limits.

If a party does not respond within the time limit set by the Disciplinary Commission, the latter may nevertheless proceed to investigate the case and pronounce its decision.

Once it has been referred to, the Disciplinary Commission is not bound by the conclusions of the parties.

Notification

12.6.013 A communication shall be considered as notified as soon as it has been sent to the addressees by email, unless the Disciplinary Commission decides otherwise. The burden of proof that the addressee was, without his fault, not in a position to have knowledge of such communication shall be on the addressee.

Notifications may also be sent to the addressee’s National Federation. These shall be considered to have been received by the addressee three days after dispatch to the National Federation.
Extension of time limits

12.6.014 The Disciplinary Commission may, upon a reasoned request, extend the time limits, provided that the request is made before the expiry of the initial time limit set by the Disciplinary Commission or established in compliance with this Part.

Entry into effect of decisions

12.6.015 Unless otherwise specified, decisions shall enter into effect immediately after notification of the operative part.

The Disciplinary Commission may decide to communicate the operative part of the decision to the parties before providing the associated reasons.

§ 7 Procedural costs

Procedural costs in the event of the termination of the procedure without imposition of a sanction

12.6.016 Unless otherwise decided, the UCI shall bear the costs of a procedure in the event of the termination of the procedure without the imposition of a sanction.

A party may be obliged to pay all or part of the costs in the event of the termination of the procedure when it can be reproached for having initiated the procedure or hindering the progress of the procedure.

Procedural costs in the event of a sanction

12.6.017 The party that is sanctioned bears the costs of the procedure.

If several parties are sanctioned, the costs of the procedure are distributed according to the parties’ degrees of culpability.

The procedural costs may be reduced to a contribution or waived depending on the circumstances, in particular taking into account the financial situation of the parties.

Procedural allowance

12.6.018 No allowances are awarded for procedures brought before the Disciplinary Commission apart from in exceptional circumstances and at the discretion of the Disciplinary Commission.

§ 8 Acceptance of Consequences

Principle

12.6.019 The UCI may propose an Acceptance of Consequences to any person or entity who acknowledges the facts alleged against them. Such acceptance shall constitute an agreement between the UCI and the person or entity concerned and shall terminate the proceedings.

The agreement must be ratified by the Disciplinary Commission.

§ 9 Official languages

Principle

12.6.020 This Part exists in the UCI’s two official languages, French and English. In the case of any discrepancy, the French version shall prevail.
# TABLE OF RACE INCIDENTS IN ACCORDANCE WITH ARTICLE 12.4.001

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<td>start refused</td>
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<td>rider: disqualification</td>
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<td>team: disqualification</td>
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<tr>
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<td>team: disqualification</td>
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</tr>
<tr>
<td>3. Body number, shoulder number, bicycle number or frame number modified or not positioned in accordance with the regulations</td>
<td>rider: 50 fine</td>
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<tr>
<td>3.1. One-day race</td>
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</tr>
<tr>
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<td></td>
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<td>3rd offence: elimination</td>
</tr>
<tr>
<td>4bis Identification number replicated on a medium other than that provided by the organiser (art. 1.3.077)</td>
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<td>start refused</td>
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<tr>
<td>4. Identification number not visible or not recognisable</td>
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<td>Rider: 50 fine</td>
</tr>
<tr>
<td></td>
<td>One-day race</td>
<td>1st offence: 100 fine</td>
</tr>
<tr>
<td></td>
<td>Stage race</td>
<td>2nd offence: 200 fine</td>
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<td></td>
<td>3rd offence: elimination</td>
<td>3rd offence: elimination</td>
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<tr>
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<td>Each rider concerned:</td>
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<td>Elimination and 100 fine</td>
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<td></td>
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<td>For offence in last km: 100 fine + relegation to last place in rider’s group</td>
</tr>
</tbody>
</table>
7.1.2. Stage race 200 fine and 10″ per offence. For offence in last km of stage: 200 fine and 30″ and relegation to the last place in rider’s group 100 fine and 10″ per offence. For offence in last km of stage: 100 fine and 30″ and relegation to the last place in rider’s group

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8. Sprint

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8.3. Jersey pulling

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### UCI Cycling Regulations

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<td>50 fine and 10'' penalty&lt;br&gt;Elimination for offence during last stage or for 2nd offence&lt;br&gt;Other licence holder: 200 fine</td>
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<td>Rider:</td>
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<td>50 fine and 10''&lt;br&gt;50 fine and elimination on 2nd offence;&lt;br&gt;For offence during last km of a stage:&lt;br&gt;100 fine, 30'' and relegation to last place on the stage;&lt;br&gt;for offence in last stage and for offence against one of the top 10 riders in a classification:&lt;br&gt;100 fine and elimination&lt;br&gt;Other licence holder: 200 fine</td>
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<td>Riders involved:</td>
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### UCI Cycling Regulations

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<td>entire race route by bicycle, resuming the race after getting into a vehicle or onto a</td>
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<td>motorbike.</td>
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<td>Time trial: 20’’ penalty</td>
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<td>Stage race: relegation to last place in the peloton for the stage</td>
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<td>Each rider involved:</td>
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<td></td>
<td>Any other licence holder; whether author, participant or accomplice: 200 fine and elimination</td>
<td>Any other licence holder; whether author, participant or accomplice: 200 fine and elimination</td>
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<td>• in the last 20 km</td>
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<td>• in the first 50 km of the stage</td>
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**E0620**

**DISCIPLINE AND PROCEDURES**

24
### UCI Cycling Regulations

#### 17. Irregular feeding
- Rider: 50 fine per offence
- Other licence holder: 1,000 fine
- Rider: 20 fine per offence
- Other licence holder: 50 fine per offence

#### 18. Breach of regulations concerning vehicle movements during the race
- Vehicle driver: 200 fine
- Vehicle driver: 100 fine

#### 19. Obstructing the progress of an official car
- Rider: 50 fine
- Other licence holder: 100 fine
- Rider: 20 fine
- Other licence holder: 50 fine

#### 20. Failure to respect the instructions of the race organisation or commissaires
- Rider: 30 to 100 fine
- Other licence holder: 100 to 200 fine
- Rider: 20 to 100 fine
- Other licence holder: 50 to 200 fine

#### 21. Insults, threats, inappropriate behaviour
- Any licence holder: 50 to 200 fine
- Any licence holder: 50 to 200 fine

#### 22. Acts of violence

##### 22.1. Among riders
- Rider: elimination and 200 fine
- Other licence holder: 5,000 fine

##### 22.2. Towards any other person
- Rider: elimination and 200 fine
- Other licence holder: 5,000 fine

#### 23. Theft of food, drink or any other goods during the event
- Any licence holder: 1,000 fine

#### 24. Carrying a glass container
- Any licence holder: 50 fine

#### 25. Throwing an object in a careless or dangerous manner
- Any licence holder: 100 fine
- Other licence holder: 200 fine

##### Throwing an object into the crowd
- (the penalty is applied to the team if the rider cannot be identified individually)
- Any licence holder: 60 fine
- Other licence holder: 100 to 250 fine

#### 26. Throwing a glass object
- Any licence holder: elimination and 100 fine

#### 27. Recrossing the finish line in the direction of the race while still wearing a body number
- Rider: 30 fine
- Rider: warning

#### 28. Failing to attend podium ceremonies
- Rider: 200 fine and forfeiture of prizes

##### UCI WorldTour and World Cup event
- Rider: 200 fine and forfeiture of prizes and World Cup points earned in the race
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<th>Fine/Forfeiture</th>
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<td>Rider: 100 fine and forfeiture of prizes</td>
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<td>Elimination</td>
<td>Elimination</td>
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<tr>
<td>30. Rider failing to respect the starting order established in article 5.1.043</td>
<td>100 fine</td>
<td>100 fine</td>
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<tr>
<td>31. Rider continuing in the race after having been lapped, in contravention of article 5.1.051</td>
<td>100 fine</td>
<td>100 fine</td>
</tr>
<tr>
<td>32. Unauthorised feeding</td>
<td>Elimination</td>
<td></td>
</tr>
</tbody>
</table>

*(text modified on 1.01.00; 1.01.02; 1.01.03; 5.05.03; 1.01.04; 1.01.05; 1.01.06; 1.01.07; 1.01.09; 1.07.10; 1.10.10; 1.07.11; 1.10.11; 1.10.13; 7.03.14; 16.06.14; 1.01.15; 1.07.15; 1.01.16; 1.01.17; 1.07.17; 1.01.19; 11.02.20; 12.06.20)*
Chapter VII  PROCEDURE - ARBITRAL BOARD

§ 1  General information

12.7.001 Unless otherwise specified, any dispute between licence holders or persons or authorities subject to the UCI Constitution and Regulations over the application or interpretation thereof, shall be submitted to the UCI Arbitral Board (hereinafter Arbitral Board).

(text modified on 06.04.05; 1.01.10).

12.7.002 A case shall be lodged by means of a petition.

(text modified on 1.01.10).

12.7.003 [abrogated on 1.01.10].

12.7.004 Disputes between National Federations shall be submitted to CAS in accordance with article 75 of the UCI Constitution.

(text modified on 1.01.10).

12.7.005 Licence holders and other parties subject to the UCI Constitution and Regulations shall submit all disputes and disagreements to the authorities stipulated in the Constitution and Regulations.

Any person, organisation or body which fails to enact the decision promptly and in full shall be suspended as of right until the decision has been completely implemented.

(text modified on 1.07.00).

12.7.006 An appeal may only be brought before the ordinary courts after all the appeals provided for by the UCI Constitution and Regulations have been exhausted.

12.7.007 Any court action initiated against the UCI shall be brought exclusively before the competent court of the Swiss canton in which the UCI has its headquarters, even when third-party or guarantee proceedings are involved. The plaintiff may not claim any related actions whatsoever.

§ 2  UCI Arbitral Board

Competence

12.7.008 Unless otherwise specified, the Arbitral Board shall decide on:

a) any dispute between licence holders and/or persons and/or entities subject to the UCI Constitution and Regulations when a dispute concerns the application or interpretation thereof;

b) any other form of contractual dispute between the same parties as far as it relates to the sport of cycling and that the parties have not expressly agreed an alternative system of resolution of the dispute. In particular, this shall apply to contracts between riders and teams. In case of doubt, the competence of the Arbitral Board is presumed.
The competences of the Licence Commission and the Court of Arbitration for Sport (hereinafter CAS) are reserved.

*(text modified on 6.04.05; 1.01.10).*

12.7.009 The Arbitral Board does not have competence over affairs concerning disciplinary matters, doping, safety and the conditions of sport or the World Championships or Olympic Games.

12.7.010 The Arbitral Board shall seek to resolve disputes by conciliation whenever possible. In this context, the sole arbitrator or panel may apply appropriate measures at any time.

The sole arbitrator or panel may, at any time, decide to resolve the dispute in accordance with the Arbitral Board’s rules of procedure.

An agreement by the parties may be the subject of a decision by the Arbitral Board.

*(text modified on 6.04.05; 1.01.10; 1.01.16).*

**Procedures**

Rules of Procedure of the Arbitral Board

**Composition of the panel**

12.7.011 Subject to the provisions below, cases brought before the Arbitral Board shall be heard by a panel of three members. A member is appointed by the plaintiff and one by the defendant. The President of the panel is appointed by the President of the Arbitral Board. The appointment by the plaintiff shall be made with the petition and the appointment by the defendant within the time limit set by the President of the Arbitral Board. If a party should fail to appoint a member, the appointment is made by the President of the Arbitral Board. In the event that there are multiple plaintiffs or defendants, the appointment shall be made by the mutual agreement of the parties in question (plaintiffs and/or defendants respectively). Failing agreement and the communication of the name of the appointed arbitrator within the set deadline, the appointment shall be made by the President of the Arbitral Board. If the President has appointed a member of the panel, he may not himself become a member of the panel.

The President may delegate other tasks incumbent on him under the rules of procedure to a person appointed in accordance with article 12.7.012 below.

*(text modified on 1.01.10).*

12.7.012 The members are appointed by the UCI Management Committee.

*(text modified on 1.01.10).*

12.7.013 The panel shall be composed of a single member in the following cases:
- with the agreement of the parties. In this case, the single member shall be appointed by the mutual consent of the parties or, failing agreement on this point, by the President of the Arbitral Board, and in any case, from among the people appointed according to article 12.7.012;
• upon the decision of the President of the Arbitral Board (particularly in urgent cases or when the disputed sum is less than CHF 50,000).

The sole arbitrator may not have the nationality of one of the parties, unless the other party so agrees. In this regard, the UCI is considered to be a party without nationality.

The reference to the “President of the panel” or the “panel” in this chapter not only includes the “President of the panel” of three arbitrators and the complete “panel” of three arbitrators, but also, if applicable, its single member, i.e. the sole arbitrator.

(text modified on 1.01.10).

12.7.014 Any arbitrator with a personal interest in a case must withdraw from it.

12.7.015 Any dispute concerning the composition of the panel shall be settled by the President of the Arbitral Board or, if it involves him personally, by his deputy.

(text modified on 1.01.10).

Introduction and preparation

12.7.016 All cases are lodged by means of a petition including:

i. the surname and first name or denomination of the plaintiff,
ii. the full address of the domicile or headquarters of the plaintiff,
iii. if necessary, the elected domicile to which all communications on the procedure will be sent,
iv. the subject of the petition,
v. the party or parties against whom the petition is being lodged with his (their) full address(es),
vi. the reasons for the petition,
vii. the plaintiff’s signature,
viii. a list of enclosures accompanying the petition.

Items i, ii, iv, v, vi and vii shall be mandatory, failing which the petition shall be invalid.

(text modified on 1.01.10).

12.7.017 The petition shall be sent to the Arbitral Board and to UCI headquarters.

The UCI Secretariat shall send a copy of the petition and enclosures to each defendant.

(text modified on 1.01.10).

12.7.018 The President of the panel, or, in cases of urgency, the President of the Arbitral Board, shall set the time limits within which the defendant(s) must provide a response and associated documents.

The President of the panel shall lead the procedure. Where necessary, the President shall authorise other written exchanges and set the relevant time limits.

Briefs and documentation submitted after the set deadlines shall not be taken into account during the discussions, except with the agreement of all the parties or the decision of the President of the panel.

(text modified on 1.01.10).
12.7.019 Each party shall send its briefs and documentation, as well as any other communication, to the secretariat of the Arbitral Board, each of the members of the panel and each other party.

*(text modified on 1.01.10).*

12.7.020 Any party wishing to have witnesses or an expert examined shall communicate the identity of such persons by its last brief at the latest. The party shall itself notify these persons to attend.

In this case, the other parties shall automatically be entitled to have other witnesses or experts examined. If their last brief has already been presented, they shall communicate the identity of the persons to be examined as soon as possible.

12.7.021 The panel may order any investigative measure.

*(text modified on 1.01.10).*

12.7.022 Should a party waive the presentation of a brief, an investigative measure or a hearing, it shall make this known as soon as possible.

**Hearing**

12.7.023 The President of the panel shall determine the place and date of the hearing at which the parties as well as any experts and witnesses will be heard.

Notification to attend the hearing shall be issued by fax or registered letter.

Should the parties give their consent, a decision may be rendered without a hearing. Even without such consent and if the circumstances so justify, the panel may decide to render a decision without a hearing, based on the documentation. The decision shall be made after consulting the parties.

*(text modified on 1.01.10).*

12.7.024 In principle, hearings shall take place at the UCI headquarters in Aigle. However, the panel may decide to hold hearings at a different venue. During the hearing, the panel may be assisted by a clerk who shall not participate in the deliberations.

*(text modified on 1.01.10).*

12.7.025 Hearings shall be public unless otherwise decided by the panel at the request of one of the parties.

12.7.026 Each party shall be entitled to be represented by a lawyer or another person of its choice. The representative shall give proof of his authorisation in writing upon request.

Each party shall be heard as well as any witnesses and experts summoned.

*(text modified on 1.01.10).*
Non-participation in the procedure

12.7.027 The fact of a duly notified party not participating in the procedure shall not prevent the panel from proceeding. This is particularly the case if the defending party or parties do not file a brief or do not appear.

(text modified on 1.01.10).

Decision

12.7.028 The decision shall be rendered as soon as possible after the conclusion of discussions and shall be adopted by a majority vote. The decision shall mention the identity of the members who took part in the deliberations.

The original of the decision shall be signed by the President of the panel.

(text modified on 1.01.10).

12.7.029 The decision shall be dated and reasoned. However, the panel may announce the operative part of the decision immediately following its deliberations and give its explanations later.

12.7.030 A copy of the decision shall be sent to each party. The original shall be filed with the UCI Secretariat.

Costs

12.7.031 The decision shall mention the costs of the procedure, including the fees of panel members.

12.7.032 The costs of the procedure established by the Secretariat shall be met by the parties in the proportions established by the panel. The assignment of costs mainly takes into account the outcome of the procedure but also all the circumstances. A party may be required to contribute to the costs of the opposing party.

The parties may be asked to pay advances at the beginning of, or during, the procedure. If the plaintiff(s) do not pay the required advances within the set deadline, a final deadline will be established; if settlement is not received by this date, the petition will be considered to have been withdrawn. If advances are sought in relation to an investigative measure requested by the parties, this measure shall not proceed if the advances have not been paid.

(text modified on 1.01.10).

Procedural language

12.7.033 The petition shall be drafted in French or English. The language of the petition shall be the language of the procedure, unless otherwise agreed by the parties or by a decision of the panel. All procedural documents shall therefore be written in that language, failing which they shall be considered invalid.

(text modified on 1.01.10).

12.7.034 The panel may order the translation of documents written in a language other than French or English.

(text modified on 1.01.10).
12.7.035 The parties may use a language other than the procedural language during hearings provided that they arrange for interpreting services and bear the associated costs.

*(text modified on 1.01.10).*

**Appeals**

12.7.036 The decisions of the Arbitral Board may be appealed to the Court of Arbitration for Sport in Lausanne (CAS). An appeal must be lodged within thirty days of the receipt of the reasoned decision.

*(text modified on 06.04.05; 1.01.10).*